SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT	OF	CHANGES	IN	BENEFICIAL	OWNERSHIP
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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the purchase of securities of the intended to sati	e issuer that is sfy the affirmative ons of Rule 10b5-1(c).					
1. Name and Addr <u>Khayal Tam</u>	ess of Reporting Per <u>er I</u>	son	2. Issuer Name and Ticker or Trading Symbol <u>TransMedics Group</u> , <u>Inc.</u> [TMDX]		tionship of Reporting Pe all applicable) Director Officer (give title	10% Owner Other (specify
(Last) C/O TRANSM 200 MINUTEN	(First) EDICS GROUP, MAN ROAD	(Middle) INC.	3. Date of Earliest Transaction (Month/Day/Year) 09/03/2024		below) below) below) Chief Commercial Officer	,
(Street) ANDOVER	MA	01810	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Fili Form filed by One Re Form filed by More the Person	porting Person
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	09/03/2024		M ⁽¹⁾		1,459	Α	\$38.46	22,302	D	
Common Stock	09/03/2024		M ⁽¹⁾		417	Α	\$66.1	22,719	D	
Common Stock	09/03/2024		M ⁽¹⁾		1,084	Α	\$13.28	23,803	D	
Common Stock	09/03/2024		S ⁽¹⁾		599	D	\$157.27 ⁽²⁾	23,204	D	
Common Stock	09/03/2024		S ⁽¹⁾		921	D	\$ 158.09 ⁽³⁾	22,283	D	
Common Stock	09/03/2024		S ⁽¹⁾		132	D	\$ 159.02 ⁽⁴⁾	22,151	D	
Common Stock	09/03/2024		S ⁽¹⁾		396	D	\$160.67(5)	21,755	D	
Common Stock	09/03/2024		S ⁽¹⁾		700	D	\$161.54(6)	21,055	D	
Common Stock	09/03/2024		S ⁽¹⁾		80	D	\$163.09 ⁽⁷⁾	20,975	D	
Common Stock	09/03/2024		S ⁽¹⁾		32	D	\$164.68(8)	20,943	D	
Common Stock	09/03/2024		S ⁽¹⁾		100	D	\$165.87	20,843	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$38.46	09/03/2024		M ⁽¹⁾			1,459	(9)	02/24/2031	Common Stock	1,459	\$0.00	8,750	D	
Stock Option (Right to Buy)	\$66.1	09/03/2024		M ⁽¹⁾			417	(10)	02/20/2033	Common Stock	417	\$0.00	12,515	D	
Stock Option (Right to Buy)	\$13.28	09/03/2024		M ⁽¹⁾			1,084	(11)	02/22/2032	Common Stock	1,084	\$0.00	19,500	D	

Explanation of Responses:

1. The reported transactions were effected pursuant to a Rule 10b5-1 trading plan entered into on September 6, 2023.

2. The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$156.82 to \$157.58, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$157.83 to \$158.74, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$158.85 to \$159.56, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$161.21 to \$161.11, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$161.21 to \$161.96, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
The price reported is a weighted average price. These shares were sold in multiple transactions ranging from \$162.55 to \$163.23, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.</

11. The option vests at a rate of 2.0833% of the total number of shares each month until the option is fully vested on February 22, 2026.

<u>By: /s/ Stephen Gordon,</u> <u>Attorney-in-Fact</u>

09/05/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.